

04-23-01

30 ROCKEFELLER PLAZA
44TH FLOOR
NEW YORK, NEW YORK
10112-0228
212.705.5000
FAX 212.705.5020

AUSTIN
BAKU
DALLAS
HOUSTON
LONDON
NEW YORK
WASHINGTON

A
J1033 U.S. PTO
09/838858
04/20/01

Application. Trans.
PATENT

**UTILITY PATENT
APPLICATION
TRANSMITTAL**

*(Only for new nonprovisional
applications under 37 CFR 1.53(b))*

Attorney Docket No. 31304B-A-D
First Named Inventor Mansfield et
Express Mail Label No. al.
EF321685936
US

Date April 20, 2001

BY EXPRESS MAIL – Label no. EF321685936US

Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of entitled
**METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME
MEDIATED RNA TRANS-SPLICING**

which includes:

<input checked="" type="checkbox"/> Specification	[96] Total Pages
<input checked="" type="checkbox"/> Claims	[13] Total Pages
<input checked="" type="checkbox"/> Abstract	[1] Total Pages
<input checked="" type="checkbox"/> Drawing(s)	[66] Total Sheets
<input type="checkbox"/> formal	
<input checked="" type="checkbox"/> informal	

☒ Combined Declaration and Power of Attorney 3 Total Pages
 ☐ Newly executed (original or copy)
 ☐ Copy from a prior application (for continuation/divisional only – **must be filed to avoid
surcharge for late filing**)

If a continuing application, check appropriate box:

☐ Continuation ☐ Divisional
☒ Continuation-In-Part of prior application No. 09/756,096 filed January 8, 2001.

Attorney Docket No. 31304B-A-D

☒ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☒ continuation-in-part of copending application Serial No. 09/756,096 filed January 8, 2001."

☒ An Assignment of the invention to
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.11 is included.
☒ will follow.
☐ has been filed in the prior application

☐ Small Entity Status is hereby claimed

☐ Information Disclosure Statement (IDS) PTO-1449
☐ Copies of IDS Citations

☐ Request and Certification for Non-publication under 35 U.S.C. 122(b)(2)(B)(i) **ENCLOSED.**

☐ Request to Rescind previous Non-publication Request under 35 U.S.C. 122(b)(2)(B)(ii) **ENCLOSED.**

☐ Request for Redacted Publication under 35 U.S.C. 122(b)(2)(B)(v) and the Redacted Publication **ENCLOSED.**

☐ Request for Early Publication under 35 U.S.C. 122(b)(1)(A) **ENCLOSED.**

☐ Preliminary Amendment

☒ Return Receipt Postcard

☐ Other:

☐ Cancel in this application original claims of the prior application before calculating the filing fee.

Attorney Docket No. 31304B-A-D

The Fee has been calculated as shown below:

FOR	Small Entity					Other than a small entity				
	(Col. 1) No. Filed	(Col. 2) No. Extra	Rate	Fee	OR	Rate	Fee			
Basic Fee				355.00			710.00			
Total Claims	39 - 20 = 19	X 9 =		.00	X 18 =		342.00			
Ind. Claims	15 - 3 = 12	X 40 =		.00	X 80 =		960.00			
Multiple Dependent Claim		+ 135 =		.00	+ 270 =		270.00			
TOTAL				.00			2282.00			

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee payment being made:

<input type="checkbox"/> Enclosed	
<input type="checkbox"/> Basic Filing Fee	\$ 2282.00
<input type="checkbox"/> Recording Assignment : 37 CFR 1.21(h)	\$ 40.00 .00
<input type="checkbox"/> Publication Fees	\$.00
Total Fees Enclosed: 2282.00	
<input type="checkbox"/> A check in the amount of \$ to cover filing fee and assignment recordation fee is enclosed.	

Priority

- ☒ Priority of application Country U.S.A. , Appln No. 09/756,096 , filed January 8, 2001.
- ☐ Certified Copy of Priority Document(s) Country Appln. No. , Filed .
- ☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N

Attorney Docket No. 31304B-A-D

[X] The Commissioner is hereby authorized to charge payment of any filing fees required under 37 CFR 1.16, 1.17 and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKERBOTTIS L.L.P.

BY: Carmella L. Stephens
Rochelle K. Seide
PTO Registration No. 32,300

Carmella L. Stephens
PTO Registration No.:41,328

Enclosures